

Express Mail No.: <u>EV452772995US</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Naimark et al.

Confirmation No.: 8822

Serial No.: 10/645,652

Art Unit: 2855

Filed: August 20, 2003

Examiner: To Be Assigned

For: MEDICAL DEVICE FOR DELIVERY

Attorney Docket No.: 10177-185-999

OF A BIOLOGICALLY ACTIVE

(CAM: 008563-999182)

MATERIAL TO A LUMEN

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application.

1.	Enclos	ures accompanying this Information Disclosure Statement are:
	la.	A list of all patents, publications, applications, or other information submitted for consideration by the office.
	1b.	A legible copy of:
		☐ Each U.S. patent application publication and U.S. and foreign patent;
		Each publication or that portion which caused it to be listed on the PTO-1449;
		For each cited pending U.S. application, the application specification including the claims, and any drawing of the application, or portion of the application which caused it to be listed on the PTO-1449 including any claims directed to that portion;
		all other information or portion which caused it to be listed on the PTO-1449.
	1c.	An English language copy of search report(s) from a counterpart foreign application or PCT International Search Report.
	1d.	Explanations of relevancy (ATTACHMENT 1(d), hereto) or English language abstracts of the non-English language publications.
2.	\boxtimes	This Information Disclosure Statement is filed under 37 C.F.R. §1.97(b): Within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
		Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;

		Before the mailing of the first Office action on the merits;
		Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.
3.		This Information Disclosure Statement is filed under 37 C.F.R. §1.97(c) after the period specified in 37 C.F.R §1.97(b), but before the mailing date of any of a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311 or an action that otherwise closes prosecution in the application.
		(Check either Item 3a or 3b)
	3a.	☐ The Certification Statement in Item 5 below is applicable. Accordingly, no fee is required.
	3b.	☐ The \$180.00 fee set forth in 37 C.F.R. §1.17(p) in accordance with 37 C.F.R. §1.97(c) is: ☐ enclosed
		to be charged to Jones Day Deposit Account No. 50-3013.
		(Item 3b to be checked if any reference known for more than 3 months)
4.		This Information Disclosure Statement is filed under 37 C.F.R. §1.97(d) after the period specified in 37 C.F.R. §1.97(c), but on or before the date of payment of the issue fee.
	The Ce	ertification Statement in Item 5 below is applicable.
		The \$180.00 fee set forth in 37 C.F.R. §1.17(p) is: enclosed.
		to be charged to Jones Day Deposit Account No. 50-3013
5.		Certification Statement (applicable if Item 3a or Item 4 is checked)
		(Check either Item 5a or 5b)
	5a.	☐ In accordance with 37 C.F.R. §1.97(e)(1), it is certified that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
	5b.	Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this information disclosure statement.
	5c.	Pursuant to 37 C.F.R. §1.704(d), each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this information disclosure statement.
6.	\boxtimes	This application is a continuation application under 37 C.F.R. §1.60 or §1.53(b) or (d).

(Check appropriate Items 6a, 6b and/or 6c)

	6a.	A Petition to Withdraw from issue under 37 C.F.R. §1.313(b)(5) is concurrently filed herewith.					
	6b.	Copies of publications listed on Form PTO-1449 from prior application Serial No. 09/724,505, filed on November 28, 2000, now U.S. Patent No. 6,638,246, of which this application claims priority under 35 U.S.C. §120, are not being submitted pursuant to 37 C.F.R. §1.98(d).					
	6c.	Copies of the publications listed on Form PTO-1449 were not previously cited in prior application Serial No. , filed on , and are provided herewith.					
7.		This is a Supplemental Information Disclosure Statement. (Check Item 7a)					
	7a.	This Supplemental Information Disclosure Statement under 37 C.F.R. §1.97(f) supplements the Information Disclosure Statement filed on . A bona fide attempt was made to comply with 37 C.F.R. §1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental Information Disclosure Statement can be considered as if properly filed on .					
8.		In accordance with 37 C.F.R. §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:					
		(Check Item 8a, 8b, or 8c)					
	8a.	satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office.					
	8b.	set forth in the application.					
	8c.	enclosed as an attachment hereto.					
9.		The Commissioner is authorized to charge any additional fee required or credit any overpayment for this Information Disclosure Statement and/or Petition to Jones Day Deposit Account No. 50-3013.					
10.		No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than a search report of a foreign counterpart application or PCT International Search Report if submitted herewith). 37 C.F.R. §§1.97(g) and (h).					
Date:	Septer	Respectfully submitted, Mar. St. Ray, No. 44, 516 Gidon D. Stern JONES DAY 222 East 41st Street New York, New York 10017 (212) 326-3939					
Enclosi	ure						



LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY DOCKET NO.	APPLICATION NO
10177-185-999	10/645,652
APPLICANT	
Naimark et al.	
FILING DATE	GROUP
August 20, 2003	2855
August 20, 2003	2033

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	4,610,249	9/9/86	Makofski et al.			
	A02	4,796,629	01/10/89	Grayzel			
	A03	5,233,972	8/10/93	Rattner			
	A04	5,354,279	10/11/94	Höfling			
	A05	5,374,236	12/20/94	Hassler			
	A06	5,569,245	10/29/96	Guglielmi et al.			
	A07	5,681,281	10/28/97	Vigil et al.			
	A08	5,693,029	12/2/97	Leonhardt			
	A09	5,713,863	02/03/98	Vigil et al.			
	A10	5,725,494	3/10/98	Brisken			
	A11	5,746,968	05/05/98	Radisch, Jr.			
	A12	5,865,796	2/2/99	McCabe			
	A13	6,001,069	12/14/99	Tachibana et al.			
	A14	6,096,000	8/1/00	Tachibana et al.			
	A15	6,102,904	08/15/00	Vigil et al.			
	A16	6,197,013	3/6/2001	Reed et al.			
	A17	6,210,392 B1	04/03/01	Vigil et al.			·
	A18	6,334,856 B1	1/1/2002	Allen et al.			

FOREIGN	I PATENT	DOCUMENTS
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	ATION
						YES	NO
B01	WO 98/48711	11/5/98	PCT		·		
B02	WO 99/33391	7/8/99	PCT				
B03	WO 99/38559	8/5/99	PCT				
B04	WO 99/64580	12/16/99	PCT				
B05	WO 00/00095	1/6/00	PCT				
B06	WO 00/07508	2/17/00	PCT				
B07	WO 00/16704	3/30/2000	PCT				
B08	WO 00/18468	4/6/00	PCT				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)						
C01	Michael L. Reed et al., Micromechanical Devices for Intravascular Drug Delivery, Journal of Pharmaceutical Sciences, vol.87, No. 11, November 1998					
C02						
. C03						

EXAMINER	DATE CONSIDERED				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not					

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.